

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b>  <b>A61B 19/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/16374</b>  <b>(43) International Publication Date:</b> 8 April 1999 (08.04.99)
<b>(21) International Application Number:</b> PCT/NO98/00276  <b>(22) International Filing Date:</b> 15 September 1998 (15.09.98)  <b>(30) Priority Data:</b> 974274 16 September 1997 (16.09.97) NO  <b>(71) Applicant (for all designated States except US):</b> ELEKTA IGS S.A. [FR/FR]; Centre Equation, Bâtiment 5, Avenue de Vignate, F-38610 Gières (FR).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> HENNIG, Rune [NO/NO]; Gnistvn. 17, N-9014 Tromsø (NO).  <b>(74) Agent:</b> ONSAGERS PATENTKONTOR - DEFENSOR AS; P.O. Box 265 Sentrum, N-0103 Oslo (NO).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>In English translation (filed in Norwegian).</i>
<b>(54) Title:</b> DEVICE AND METHOD FOR SETTING STEREOTACTIC AND ENDOSCOPICALLY PLACED EQUIPMENT  <b>(57) Abstract</b>  <p>The invention concerns a device for adjusting stereotactically and endoscopically located equipment, including pharmaceutical agents, radiation sources and organic material, comprising a holder and a fixing key, where the holder comprises a lower ring (1) with a surface (4) for placing a ball (2) which will form a ball joint, and an upper ring (3) for locking the ball in a specific position, where the ball (2) has a channel for insertion of medical instruments, and where the lower ring (1) has an external threaded surface (8) for direct screwing to an area of a patient's skull. The device is characterized by the fact that the ball's (2) central point, and thereby the ball joint's fulcrum is arranged for positioning on a level with the patient's cranium by means of a groove-like section (20) on the holder which forms an abutment for the ball (2). The invention also concerns a method for adjusting different stereotactically and endoscopically located equipment, including pharmaceutical agents, by means of the device, and application of the method in different contexts.</p> <div style="text-align: right;"> </div>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

DEVICE AND METHOD FOR SETTING STEREOTACTIC AND ENDO-  
SCOPICALLY PLACED EQUIPMENT.

The present invention concerns a device for adjustment of different stereotactically and endoscopically located equipment, including  
5 pharmaceutical agents, radiation sources and organic material and a method for adjustment of stereotactically and endoscopically located equipment.

Brain surgery procedures, where precise placement of instruments or other aids is required, are generally performed in several steps:

1. X-rays are taken of the patient.
- 10 2. The patient's head is firmly screwed to a frame which is provided with position indicators (stereotactic frame).
3. New X-rays are taken where markers on the frame permit calculations to be performed, thus enabling aids to be inserted into a desired area.
4. The patient is taken to the operating theatre.
- 15 An essential condition for the use of all such instrumentation with present day equipment is that the patient's head is fixed to the stereotactic equipment. The frame is usually attached by screws to the skullbone. The head is then fixed by means of arm devices, usually to the operating table.

US-A-4.955.891 concerns a device and a method for performing stereotactic  
20 surgery. The method consists in establishing a first, predetermined geometric relationship between a positioning fixture attached both to the skull and to a supporting surface on which the skull is lying. The skull is then scanned in order to provide an image of the target within the skull in relation to the positioning device. This permits the transfer of at least a part of the  
25 positioning device to a phantom device and the arrangement of the said part of the positioning device in relation to the phantom device in order to establish a second and predetermined geometric relationship which is identical to the first. The slope of the positioning device which is attached to the skull is doubled in the phantom device. By this means a phantom target  
30 can be provided in the phantom device with a location corresponding to the target's position in the skull. This is done by calculating the trajectory and the distance to a medical instrument which extends from the positioning fixture's portion in the skull in the same position in which it was originally attached. The calculation is based on providing a carrier member, arc

member and instrument guide member in the positioning device and inserting the medical instrument through the guide member, whereupon it meets the skull before striking the target area at a specific calculated depth.

5 In order to implement this method the equipment is passed through a positioning fixture in a trajectory determined by a trajectory ball. The trajectory ball is placed inside a ball holder, which is secured in a skull plate and this in turn is secured to the skull. The ball holder is rotatable relative to the skull plate. This device, however, has several drawbacks: it requires a large operating area; it requires the use of several loose parts (screws for  
10 attachment to the skull); and it is expensive to produce, thus having a high retail price. The ball joint's centre is disposed outside the skull's outer surface, resulting in an extremely restricted radius of action (which is restricted only in the path's longitudinal direction) for this medical instrument. This equipment is also impractical due to its large size which  
15 makes the use of various instruments more difficult.

US-A-5.263.956 concerns a device for use in neurosurgery. A ball joint is arranged to hold a neurosurgery tool in a predetermined orientation relative to the patient's skull. A plate with sharpened corners is placed against the skull. The plate has a recess in which a ball joint is placed. A bore passes  
20 through the ball joint, permitting the introduction of neurosurgery equipment. The ball can be rotated, thus enabling the orientation of the neurosurgery probe to be adjusted relative to the skull. Screws are used to hold the neurosurgery probe stationary relative to the plate. A ball holder ring holds the ball against the plate. The device has several disadvantages in use,  
25 including the fact that it comprises several loose parts (including small screws) and the mechanism fixing the ball in position is a screw which grips the ball. This makes the equipment difficult to handle, and the screw can easily be lost. The devices for securing the ring to the skull are not adequate to ensure the absence of relative movements. The main drawback of this  
30 device is that the radius of action is severely restricted, since the ball's central point is located outside the area defined by the cranium.

US-A-4.681.103 discloses a guiding device for ultrasound adjustment of surgical instruments. The device consists of an adaptor housing which is securely screwed to the skull and which has a longitudinal opening through  
35 which instruments are passed. For screwing purposes the device is provided

with a gripping surface which is held by the fingers. Such an attachment mechanism makes the device difficult to secure on those areas of the head where there is very little room round the adaptor housing (e.g. near shoulders and at the back of the head).

- 5 At the same time it is difficult to exercise sufficient force when screwing into the compact outer bone layer of the cranium. The ball's optimal position is an abutment against the surface of the brain, since the closer to the surface of the brain the centre of the ball is located, the smaller the opening in the skull which is necessary to reach a large area of the skull. In the adaptor housing  
10 described in US-A-4.681.103 the position of the attachment threads in the housing and the position of the ball in the socket are such that the ball is not located in the said optimal position. In addition to this the ball's diameter is the same size as the longitudinal opening. The combination of these features means that the angular area which is available when using this known device  
15 is no greater than 60°. Moreover, several parts are required to lock the ball in a specific position, leading to a reduction in reliability.

It is also known to employ ball joints for securing equipment in a specific position relative to the brain. In this case soft or collapsible balls are employed which, when secured in a specific angular position, clamp the  
20 tubes together, thereby securing the tubes' angular position. However, these devices do not permit any further movement of the tubes relative to the ball. Thus they do not permit any advance adjustment of the ball's angular position before the equipment is passed through it.

These and other problems associated with the known solutions are solved by  
25 means of the device and method according to the invention. The device according to the invention comprises a holder and a fixing key, wherein the holder comprises a lower ring with a surface for placing a ball which will form a ball joint, and an upper ring for locking the ball in a specific position. where the ball has a channel for insertion of medical instruments, and where  
30 the lower ring has an external threaded surface for direct screwing to an area of a patient's skull. The device is characterized in that the ball's central point, and thereby the ball joint's fulcrum is arranged for positioning on a level with the patient's cranium by means of a groove-like section on the holder which forms an abutment for the ball. The invention also concerns a method for  
35 adjustment of different stereotactically and endoscopically located

equipment, including pharmaceutical agents, by means of the holder, characterized by:

- localising a point on the skull,
- making a burr hole
- 5 - attaching the holder's lower ring to the wall of the formed burr hole by means of the key,
- placing the ball on the lower ring in such a manner that the ball's central point is on a level with the patient's cranium,
- attaching the upper ring to the lower ring without locking the ball,
- 10 - passing a stereotactic pointer through the ball in order to adjust direction,
- locking the ball in a specific angular position,
- replacing the stereotactic pointer with implantable equipment, such as a drain, an electrode, etc., or with temporarily introduceable equipment, such as an endoscope, a biopsy needle etc. The invention also concerns the
- 15 application of the device and the method for:
  - biopsy taking,
  - puncture of, e.g., cysts, abscesses and other expansive processes,
  - puncture of the ventricle system by placing drains and equipment,
  - placing of markers, isotopes and biological or other material, such as
  - 20 neuroactive cells,
  - placing of electrodes or other equipment for recording/stimulation of the brain.

The holder's lower ring is equipped with grooves for co-operation with protrusions in the fixing key which is employed for screwing the ring's threaded surface on to and off an area of the skull. The use of the fixing key has several advantages including the fact that it permits great force to be exerted, thus securing the ring in the compact outer bone layer of the cranium. In addition to this the use of a key gives access to difficult areas (the key is long and narrow and does not require extra space round the

30 securing ring). The equipment is designed in such a manner that the ball's lower part is on a level with the surface of the dura. This ensures the best possible accessibility for reaching various brain structures through a small burr hole.

The holder's upper ring is equipped with notches on the circumference whose

35 object is to obtain a good grip for securing the upper ring to the lower ring,



thereby facilitating correct positioning. Both the upper and the lower ring are provided with inclined surfaces which permit angular placement of the ball in a large angular area (up to approximately 74°).

5 The holder according to the invention is simple to use. It does not require complicated instruments to attach it to the skull. The holder consists of only three parts which are easy to assemble and to disinfect.

10 Since the channel in the ball is unaltered when the ball is locked and unlocked, the holder permits previous adjustment of an angular position, for example by inserting a pointer in the channel, removing the pointer without losing the angular position, and introducing a surgical instrument which will only be restricted in angular position, but which will still be able to rotate in the channel and also be moved in the channel's axial direction.

15 In a preferred embodiment the ball is equipped with a centrally extending cast-in tube for guiding the instruments through the ball, and the tube's diameter is considerably smaller than the ball's diameter. Together with the rings' inclined planes, this helps to give the instrument a large range of motion in the angular direction. In a preferred embodiment the tube has a lower section with a smaller cross section, which ensures that the orientation equipment (which is used for adjusting the direction) is stopped in the  
20 equatorial plane of the ball where the latter abuts against or a few millimetres above the surface of the brain. Where the tube does not have a lower section with a smaller cross section, but has a constant inner cross section, it may be supplied with stoppers on the upper side which will abut against corresponding stoppers in the pointer instruments, thereby restricting the  
25 instrument's movement in depth. The tube projects out of the ball towards the environment, thereby increasing the guiding effect on the instruments.

The support for the instruments which is composed of the ball's through-going opening or the tube surrounds the point of the instrument along a major part of the length of the point as close as possible up to the tip of the point.

30 Since the insertion channel in the casing and through the ball ends right down on the surface of the dura, all instruments which are introduced are ensured support as far as possible up to the brain. The free tip of the instruments is therefore as short as possible. This reduces the risk of navigation error

compared with insertion systems which are located further from the surface of the brain.

During use the holder may, e.g., be further supplied with an adaptor for biopsy needles provided inside the tube, which adaptor is externally adapted to the internal diameter of the insertion sleeve which is connected to the ball and which is internally adapted to the circumference of the instruments which have to be used. Two locks/stoppers are mounted at the correct length along the equipment (e.g. biopsy needles) which have to be inserted into the brain. These locks will abut against a collar on top of the adaptor which is adapted to the individual instrument. By this means equipment is prevented from being inserted deeper into the brain than planned.

In an advantageous embodiment the tube and the adaptor comprise identification means for connecting them to different equipment.

Since it can be sufficient to make a hole in the dura which is exactly as large as the instrument which has to be inserted, optimal sterility is guaranteed. Moreover, larger holes in the dura cause the surface of the brain to collapse slightly. This can be eliminated by the use of the invention.

The equipment is so designed that it is easy to remove after electrodes and other equipment which have to project through the skin have been placed inside the brain. In order to unscrew the bottom ring longer lines or drains may be temporarily inserted and project through a channel drilled in the fixing key (not illustrated in the figures). This means that in a preferred embodiment the method according to the invention comprises the following further steps:

- removal of the holder's upper ring,
- removal of the ball,
- insertion of the implantable/temporarily introduceable equipment in the key through a channel therein,
- removal of the holder's lower ring,
- removal of the implantable/temporarily introduceable equipment from the key, while the equipment remains in place in the patient.

The device according to the invention also permits the ball to be removed in an approximately parallel fashion even though it is in the extreme position of the ball joint's movement.

The device according to the invention has a wide range of applications. It can be used amongst other things for:

- a) taking biopsies (e.g. tissue samples from tumours and infected brain tissue);
- 5 b) puncture of amongst other things cysts, abscesses (pus formations) and other expansive processes;
- c) puncture of the ventricle system with placing of drains and equipment (e.g. endoscope);
- 10 d) placing of markers (for any subsequent radiation or surgery), isotopes (for local radiation) and biological or other material (e.g. neuroactive cells for treatment of, e.g., Parkinson's disease);
- e) placing of electrodes or other equipment for recording/stimulation in the brain.

15 The device and the method according to the invention are otherwise characterized by the features presented in the appended patent claims.

The device will now be described in more detail with reference to an example of an application of the holder and to the accompanying figures in which:

20 figure 1 is a section of the device according to the invention in a dismantled position, illustrating the relative position of the elements and also an adaptor and a stopper for biopsy needles;

figure 2 is a section of the device according to the invention in an assembled position and also of an instrument for use with the holder.

Figure 1 illustrates the device according to the invention in a dismantled position. The device comprises a holder with a lower ring 1 with a surface (groove) 4 for placing a ball 2 and with notches 5 for co-operation with the protrusions 6 in a fixing key 7, and with two external threaded surfaces. The upper part of the first surface 9 is located on ring 1 and will co-operate with an upper ring 3 for locking of a ball 2. The bottom of the second surface 8 is located in the ring, permitting the ring to be attached to the skull. The lower ring 1 is further provided with a groove-like section 21 which forms an abutment for the ball 2.

25

30

The ball 2 which will form a ball joint is equipped with a through-going channel 10 for insertion of medical instruments. According to a preferred embodiment the ball is equipped with a cast-in tube 11, which will guide the medical instruments through the ball 2. According to a further preferred embodiment the tube preferably has a lower section 12 with a smaller cross section, which restricts the movements of the instruments which are employed for adjusting the equipment. These instruments will have a part with a larger diameter than the tube's 11 lower section 12 and will therefore be restricted in the longitudinal movement to the area where the tube is wider than they are. This ensures that the adjustment instruments do not touch the brain. The holder's upper ring 3 has a lower edge 13 which surrounds the top of the ball 2 and an internal threaded surface 14 for co-operation with the upper threaded surface 9 in the lower ring 1. The ball 2 can be freely rotated and moved with a conical movement. The upper ring 3 has notches 16 on the circumference, the object of which is to obtain a good grip for attaching the rings 1 and 3 around the ball 2. The ring 3 has an upper conical opening 15 whose object is to ensure the best possible range of movement for the instruments. The figure also illustrates an adaptor 17 and a stopper 18 for biopsy needles.

Figure 2 illustrates the device in an assembled position. The figure shows an opening A in the skull, where the lower threaded surface 8 in the lower ring 1 has to be attached. The figure illustrates the arrangement of the ball 2 in the immediate vicinity of the brain's surface B.

In the method for adjusting equipment by means of the device according to the invention the following steps amongst others are carried out:

- by means of pointing equipment a point is localised on the skull which is selected as an approach to the area which has to be examined;
- a burr hole (opening A, fig. 2) is made with a conventional "ball drill" (Aesculap, diameter = 16 mm);
- the lower ring's 1 surface is screwed into the wall of the formed burr hole A, by means of the fixing key 7 with protrusion 6, the ring's 1 notches 5 being adapted to the protrusions 6, and the fixing key may have a centrally extending channel (not illustrated in the figures);

- the ball 2 is placed inside the groove 4;
- the upper ring 3 and the lower ring 1 which are fixed in the cranium, are screwed together, holding the ball 2 between the lower groove 21 and the upper groove 13 respectively, the ball 2 is attached as far down as possible towards the cranium in order to ensure the best possible stability and range of movement, thus providing the best possible precision and adjustability;
- the tube 11 can be freely manoeuvred and rotated as long as the ball 2 is not locked between the rings 1 and 3. Where the equipment is not cylindrical, the holder permits it to be rotated by means of movement both between the adaptor 17 and the tube 11 and in the ball joint 2, thereby providing the possibility of studying optional cutting planes;
- a stereotactic pointer 19 is inserted into the tube 11 and stopped where the pointer's tip touches the ball's 2 most distal equatorial plane, since the tube's lower section 12 has a smaller diameter than the rest of the tube 11;
- the direction of the tube 11 is adjusted and the depth to the area in which the examination/operation is to be performed is determined;
- the ball 2 is locked by pulling the ring 3 so that the rings 1 and 3 surround the ball 2;
- the pointer 19 is withdrawn and set aside so that all unnecessary equipment including holders for fixing soft parts aside - is removed before instruments are inserted into the brain (this ensures the best working conditions for further treatment);
- an adaptor 17 which is specially adapted to the equipment which has to be passed down the tube is put in place, the adaptor's end piece 20 preferably being coloured green and projecting, for example, 5 mm above the tube 11;
- the equipment which is passed into the adaptor 17 will be stopped when a ring clamp 18 stops against the adaptor's end piece 20;
- the distance to the target area within the brain is read off;
- the tube's 11 and the adaptor's 17 length (for example 45mm+5mm=50mm) is added;

- the ring clamp 18 is attached to a biopsy needle or another instrument (not shown in the figure) which is thereby stopped at the correct depth.

The biopsy needle's locking system preferably has two hinged ring clamps 18 for maximum security (only one is shown in the figure).

- 5 In a preferred embodiment of the invention the top part of the tube 11 is coloured red as a warning against inserting any other equipment than the stereotactic pointer tip 19 therein.

The invention described above represents a universal device which can be employed for many purposes.

- 10 In addition to what has been mentioned, the device may also be employed, e.g., as a holder and support for equipment which is moved within the brain. The attachment over the ball joint will ensure the least possible movement of the brain structures under the cranium. In this way care is taken to protect vital brain structures from unnecessary movement, stress and damage. These  
15 areas of application are included without departing from the scope of the invention as indicated in the appended patent claims.

## PATENT CLAIMS

1. A device for adjusting stereotactically and endoscopically located equipment, including pharmaceutical agents, radiation sources and organic material, comprising a holder and a fixing key, where the holder comprises a  
5 lower ring (1) with a surface (4) for placing a ball (2) which will form a ball joint, and an upper ring (3) for locking the ball in a specific position, where the ball (2) has a channel for insertion of medical instruments, and where the lower ring (1) has an external threaded surface (8) for direct screwing to an area of a patient's skull,  
10 characterized in that the ball's (2) central point, and thereby the ball joint's fulcrum is arranged for positioning on a level with the patient's cranium by means of a groove-like section (20) on the holder which forms an abutment for the ball (2).
2. A device according to claim 1,  
15 characterized in that the lower ring (1) has an upper threaded surface (9) for co-operation with a corresponding threaded surface (14) in the upper ring (3).
3. A device according to one of the preceding claims,  
characterized in that the lower ring (1) is equipped with grooves (5) for co-operation with protrusions (6) in the fixing key (7) which is employed for  
20 screwing the ring's (1) lower threaded surface (8) on to and off an area of the skull.
4. A device according to one of the preceding claims,  
characterized in that the upper ring (3) is equipped with notches (16) on the circumference, the object of which is to obtain a good grip in order to secure  
25 the upper ring (3) to the lower ring (1).
5. A device according to one of the preceding claims,  
characterized in that the upper ring (3) has an upper conical opening (15).
6. A device according to one of the preceding claims,  
characterized in that the ball (2) is equipped with a centrally extending cast-  
30 in tube (11) for guiding medical instruments through the ball (2), the tube projecting from the ball's surface towards the surroundings.

7. A device according to claim 6,  
characterized in that the tube's (11) diameter is considerably smaller than the  
ball's (2) diameter.
8. A device according to claim 6 or 7,  
5 characterized in that the tube (11) has a lower section (12) with a smaller  
cross section, for restricting the instruments' range of motion in the  
longitudinal direction.
9. A device according to one of the claims 6-8,  
characterized in that it is further provided with an adaptor (17) for biopsy  
10 needles disposed inside the tube (11) and with at least one stopper (18) for  
biopsy needles disposed on the top of the adaptor.
10. A device according to claim 9,  
characterized in that the stopper (18) consists of at least one hinged ring.
11. A device according to claim 9 or 10,  
15 characterized in that the tube (11) and the adaptor (17) comprise  
identification means for connecting them with different equipment.
12. A device according to one of the preceding claims,  
characterized in that the holder and the key (7) which are employed for  
screwing the holder's lower threaded surface to an area of the skull are  
20 designed in such a manner that it is possible to implant equipment which has  
to project through the skin after the procedure.
13. A device according to one of the preceding claims,  
characterized in that the support for the instruments which is composed of  
the ball's (2) through-going opening or tube (11) surrounds the point of the  
25 instrument for a major part of the length of the point as close up to the tip of  
the point as possible.
14. A device according to one of the preceding claims,  
characterized in that the key (7) has a drilled channel to permit removal of  
the lower ring (1) from the skull while equipment projecting through the ring  
30 remains in place.
15. A method for adjusting different stereotactically and endoscopically  
located equipment, including pharmaceutical agents, by means of the device



according to one of the preceding claims,  
characterized in that it comprises:

- localising a point on the skull,
- making a burr hole
- 5    - attaching the holder's lower ring (1) to the wall of the formed burr hole by means of the key (7),
- placing the ball (2) on the lower ring (1) in such a manner that the ball's central point is on a level with the patient's cranium,
- attaching the upper ring (3) to the lower ring without locking the ball (2),
- 10   - passing a stereotactic pointer through the ball in order to adjust direction,
- locking the ball in a specific angular position,
- replacing the stereotactic pointer with implantable equipment, such as a drain, an electrode, etc., or with temporarily introduceable equipment, such as an endoscope, a biopsy needle etc.
- 15   16.    A method according to claim 15,  
characterized in that it further comprises the following steps after the implantable and/or the temporarily introduceable equipment has been placed in the ball:
  - removal of the holder's upper ring (3),
  - 20   - removal of the ball (2),
  - insertion of the implantable/temporarily introduceable equipment in the key (7) through a channel therein,
  - removal of the holder's lower ring (1),
  - removal of the implantable/temporarily introduceable equipment from the
  - 25   key (7), while the equipment remains in place in the patient.
- 17.    Application of the device according to one of claims 1-14, and the method according to one of claims 15-16 for:
  - taking biopsies,
  - puncture of amongst other things cysts, abscesses and other expansive
  - 30   processes,
  - puncture of the ventricle system with placing of drains and equipment,
  - placing of markers, isotopes and biological or other material, such as neuroactive cells,

- placing of electrodes or other equipment for recording/stimulation in the brain.

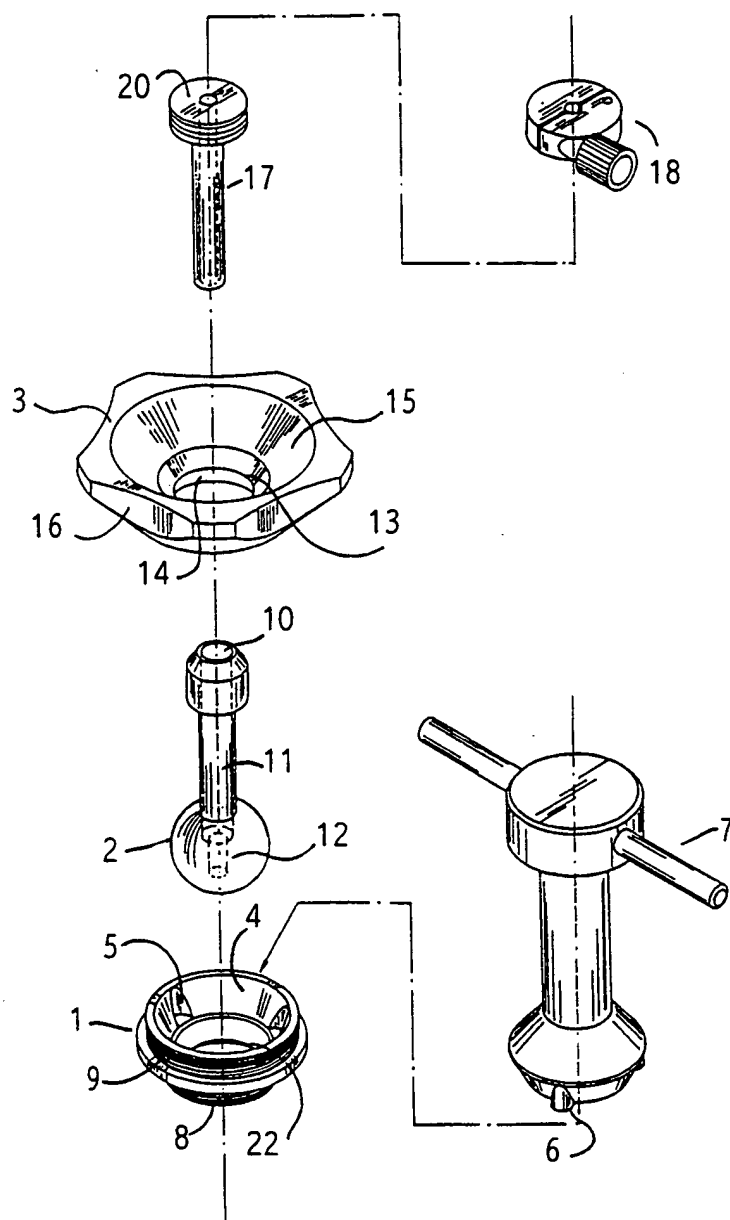


FIG. 1

2/2

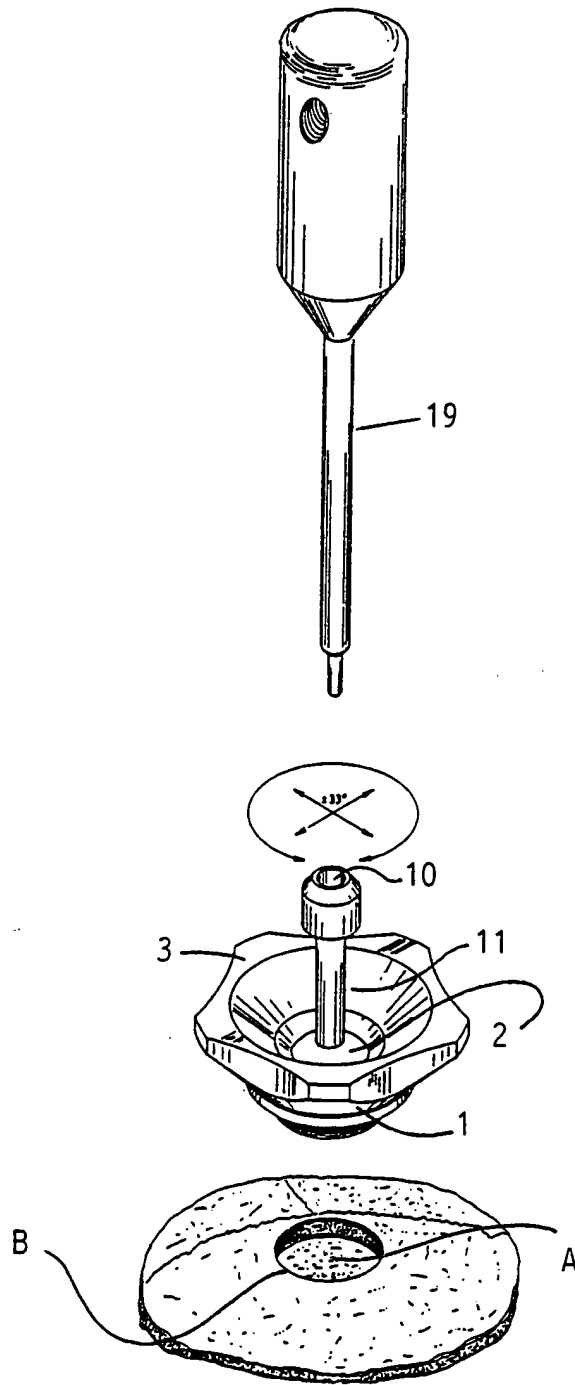


FIG. 2

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 98/00276

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
<b>IPC6: A61B 19/00</b> According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
<b>IPC6: A61B</b>		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
<b>SE,DK,FI,NO classes as above</b>		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 3115140 A (F.C.VOLKMAN), 24 December 1963 (24.12.63), column 1, line 59 - column 3, line 23; column 5, line 25 - line 50, figures 1,11  --	1-14
X	US 4681103 A (J.BONER ET AL), 21 July 1987 (21.07.87), column 3, line 65 - column 4, line 53, figures 8,9  --	1-14
X	US 4809694 A (V.FERRARA), 7 March 1989 (07.03.89), column 2, line 44 - column 3, line 46; column 4, line 32 - line 50  -- -----	1-14
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
11 January 1999		15 -01- 1999
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86		Authorized officer  Anette Hall Telephone No. +46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 98/00276

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 15-17

because they relate to subject matter not required to be searched by this Authority, namely:

A method of treatment of the human or animal body by surgery (Article 17(2)(a)(i) and Rule 39.1(iv)).

2. ☐ Claims Nos.:

because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐

The additional search fees were accompanied by the applicant's protest.

☐

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

01/12/98

International application No.

PCT/NO 98/00276

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
GB	3115140	A	24/12/63	NONE	
US	4681103	A	21/07/87	NONE	
US	4809694	A	07/03/89	NONE	

Form PCT/ISA/210 (patent family annex) (July 1992)

**THIS PAGE BLANK (USPTO)**